

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

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In re	: Chapter 11 Case No.
	:
LEHMAN BROTHERS HOLDINGS INC., <i>et al.</i> ,	: 08-13555 (JMP)
	: (Jointly Administered)
Debtors.	:
	:
-----X	Ref. Docket Nos. 41326 & 41796

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)
) ss.:
COUNTY OF NEW YORK)

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On January 7, 2014, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez
Lauren Rodriguez

Sworn to before me this
16th day of January, 2014
/s/ Cassandra Murray
Notary Public, State of New York
No. 01MU6220179
Qualified in Queens County
Commission Expires April 12, 2014

EXHIBIT A

UNITED STATES BANKRUPTCY COURT
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: HSBC PRIVATE BANK SUISSE SA
F/K/A HSBC GUYERZELLER BANK AG
ATTN: TITSS- CORPORATE ACTIONS DEPARTMENT
QUAI GENERAL-GUISAN 2
PO BOX 3580
GENEVA 3 1211 SWITZERLAND

Additional:

Transferee: ROSWITHA HABIG
THOMAS-DEHLER-STRASSE 5
HEILIGENHAUS 42579 GERMANY

Your transfer of claim # 51605 is defective for the reason(s) checked below:

Other CURRENCY DISCREPANCY-ISIN FILED IN USD VS. UNITS ON TRANSFER

Docket Number 41796

Date 12/23/13

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on January 7, 2014.

EXHIBIT B

TIME: 12:35:06
DATE: 01/07/14

LEHMAN BROTHERS HOLDING INC.
CREDITOR LISTING

PAGE: 1

08-13555-mg

Doc 42100

Filed 01/17/14

Entered 01/17/14 13:08:18

Main Document

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Name	Address
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CREDIT SUISSE AG	C/O CRAVATH, SWAIN & MOORE LLP ATTN: STEPHANIE TUMBILOLO 825 8TH AVENUE NEW YORK NY 10019
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FIBI BANK (SWITZERLAND) LTD	SEESTRASSE 61 ZURICH CH-8027 SWITZERLAND
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HSBC PRIVATE BANK SUISSE SA	F/K/A HSBC GUYERZELLER BANK AG ATTN: TITSS- CORPORATE ACTIONS DEPARTMENT QUAI GENERAL-GUISAN 2 PO BOX 3580 GENEVA 3 1211 SWITZERLAND
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ROSWITHA HABIG	THOMAS-DEHLER-STRASSE 5 HEILIGENHAUS 42579 GERMANY
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Total Number of Records Printed

4

EPIQ BANKRUPTCY SOLUTIONS, LLC